TRANSMITTA	Docket No. 147201-1							
In Re Application Of: Srinivasan et al.								
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.			
10/815,880	/815,880 03/31/2004 4324				9067			
Title: METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL)								
PHTHALIMIDINE	E MONOMERS AND	POLYCARBONATES DERIVI	ED THEREFRO	OM				
PE								
MON 5 6 500x 20	Alexandria, VA 22313-1450							
PRADEMANT		37 CFR 1.97(b)						
1. A The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.								
<ul> <li>The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</li> </ul>								
the statement specified in 37 CFR 1.97(e);								
	OR							
the fee set forth in 37 CFR 1.17(p).								

NF C								
TRANSMITTA NOV 2 6 2004	TRANSMICTAL OF INFORMATION DISCLOSURE STATEMENT  NOV 2 6 2006 (Under 37 CFR 1.97(b) or 1.97(c))					Docket No. 147201-1		
In Re Applicatio	n: Srinivasan et al.							
Application No.	Filing Date	Examine	r	Customer No.	Group Art Unit	Confirmation No.		
10/815,880	03/31/2004			43248		9067		
Title: METHODS FOR PRODUCING AND PURIFYING 2-HYDROCARBYL-3,3-BIS(4-HYDROXYARYL) PHTHALIMIDINE MONOMERS AND POLYCARBONATES DERIVED THEREFROM								
	(Only con	Payme	ent of Fee ts to pay the f	ee set forth in 37	CFR 1.17(p))			
<ul> <li>☐ A check in the amount of is attached.</li> <li>☑ The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below.</li> <li>☐ Charge the amount of</li> <li>☐ Credit any overpayment.</li> <li>☑ Charge any additional fee required.</li> <li>☐ Payment by credit card. Form PTO-2038 is attached.</li> <li>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</li> </ul>								
Certificate of Transmission by Facsimile*  I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No.			Certificate of Mailing by First Class Mail  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on					
(Date)	(Date)			November 22, 2004 (Date)  Petrica L. Blake				
Signature			Signature of Person Mailing Correspondence  Rebecca L. Blake					
Typed or I	Printed Name of Person Sig	ning Certificate	Тур	ed or Printed Name	of Person Mailing C	ertificate		
*This certific	ate may only be used	if paying by						
Peter R. Hagerty	Signature		Dated:	November 22, 2	004			
Registration No. 42								
Cantor Colburn LL 55 Griffin Road Sou								
Bloomfield, CT 060								
Telephone No. 860-286-2929								
CC:								

				DOCKET NO.	APPLICATION NO.				
P ENECRMATION DISCLOSURE CITATION (Use several sheets if necessary)				147201-1 10/815.880 APPLICANT(S) Srinivasan et al.					
	- j		G DATE	Ī	GROUP ART UNIT				
MOA 5 8 ;	2004 87			3/31/2004	<u> </u>				
<b>A</b>		U.S	S. PATENT DOCU	JMENTS					
EXAMINET INITIAL	DOCUMENT NUMBER	DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	3,671,487	6/20/1972	Visvaldis						
	3,723,373	3/27/1973	Lucas			·			
	4,217,438	8/12/1980	Brunelle et al.						
	5,132,359 7/21/1992		Sasaki et al.						
	5,344,910	9/6/1994	Sybert						
		U.S. PATE	IT APPLICATION	PUBLICATIONS					
*EXAMINER INITIAL	DOCUMENT NUMBER			NAME	CLASS	SUBCLASS	FILING DAT		
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		FORE	IGN PATENT DO	CUMENTS		_			
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	OTHER DOCUI	 MENTS (Includir	l ng Author, Title	, Date, Pertinen	t Pages, Etc.		<u> </u>	<u>I</u>	
	Lin and Pearce, "Poly Journal of Polymer S	ymers with Improv	ed Flammability	Characteristics. I	. Phenolphthal	ein-Related Ho	mopolymei	rs''	
	Journal of Polymer S	cience: Polymer Cl	nemistry Edition	i, Vol. 19, 2659-26	70 (1981)				
	Lin and Pearce, "Thermal Degradation Study of Phenolphthalein Polycarbonate" Journal of Polymer Science: Polymer Chemistry Edition, Vol. 19, 2773-2797 (1981)								
EXAMINER			DATE	CONSIDERED					
*EXAMINER: considered.	Initial if reference considered, who	ether or not citation is communication to ap	s in conformance opplicant.	with MPEP 609; Dra	aw line through o	citation if not in o	conformance	e and not	

Form PTO-A820 (also form PTO-1449) P09C/REV04

Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

## ATTY DOCKET NO. APPLICATION NO. 10/815.880 147201-1 INFORMATION DISCLOSURE CITATION Srinivasan et al. (Use several sheets if necessary) **GROUP ART FILING** 3/31/2004 **U.S. PATENT DOCUMENTS** FILING DATE . \*EXAMINER SUBCLASS NAME CLASS DOCUMENT NUMBER DATE IF APPROPRIATE INITIAL 5,387,629 2/7/1995 McGrath et al. 10/3/1995 Hoover et al. 5,455,310 Boden et al. 9/8/1998 5,804,525 **U.S. PATENT APPLICATION PUBLICATIONS** FILING DATE \*EXAMINER SUBCLASS DATE NAME CLASS DOCUMENT NUMBER IF APPROPRIATE INITIAL 6/12/2003 Campbell et al. 2003/0109650 **FOREIGN PATENT DOCUMENTS** TRANSLATION SUBCLASS COUNTRY CLASS DOCUMENT NUMBER DATE YES NO (Including Author, Title, Date, Pertinent Pages, Etc.) OTHER DOCUMENTS

EXAMINER DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.